



OFFICE OF THE ASSISTANT SECRETARY OF DEFENSE

WASHINGTON, DC 20301-1200

AUG - 7 2012

HEALTH AFFAIRS

MEMORANDUM FOR DEPUTY SURGEON GENERAL OF THE ARMY
DEPUTY SURGEON GENERAL OF THE NAVY
DEPUTY SURGEON GENERAL OF THE AIR FORCE
COMMANDER, JOINT TASK FORCE, NATIONAL
CAPITAL REGION MEDICAL

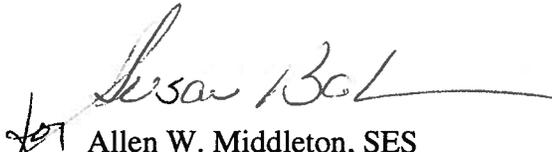
SUBJECT: Policy Memorandum – TRICARE Management Activity Data Quality
Management Control Program, Revised Reporting Documents

This memorandum revises Enclosure 1 (Data Quality Management Control (DQMC) Review List) and Enclosure 2 (Data Quality Statement) of Department of Defense Instruction (DoDI) 6040.40 (Military Health System Data Quality Management Control Procedures), dated November 26, 2002. These important documents are the basis for the military treatment facility (MTF) Report Format submitted to you monthly from your MTFs. The changes recommended in this memorandum will be incorporated into the DoDI through a reissuance process at a later date; changes to the enclosures are authorized in the DoDI.

The DQMC Workgroup which includes both Surgeon General Staff and TRICARE Management Activity (TMA) representation has recommended changes to the reporting and management tools. The primary changes for fiscal year 2013 focus on simplifying the coding audit instructions for DQMC Review List to once a quarter. Several new questions were added to the DQMC Review List to insert a Billable Status Summary Report for CCE (B.7), to search for Duplicate National Provider Identifiers (C.2.f and g), and to use the new Data Quality Coding Error Reports to detect coding errors (C.10). In addition, Section E has been trimmed with two questions removed, and the references to the World Wide Report have also been removed. The revised DQMC documents will be used beginning with the December 2012 reporting period (October 2012 data month). These documents can be viewed on the DQMC webpage http://www.tricare.mil/ocfo/mcfs/dqmc/mcp/management_control.cfm. The TMA Summary will continue to show the colored highlights of the following standard criteria:

95-100 = green, 80-94 = yellow and below 79 = red.

Thank you once again for your staff's cooperation in making improvements to the DQMC Program and their continued support of the Military Health System data quality initiatives.


Allen W. Middleton, SES
Deputy Assistant Secretary of Defense
Health Budgets and Financial Policy

Attachments:
As stated

E.1 ENCLOSURE 1

FY 2013 DATA QUALITY MANAGEMENT CONTROL REVIEW LIST

<p><i>Instructions:</i> The Military Treatment Facility (MTF) Data Quality (DQ) Manager and members of the DQ Assurance Team (or other designated structure) will forward the completed DQMC Review List to the MTF Executive Committee and Commander for review, coordination and action to meet timelines for completing the Data Quality (DQ) Statement, to be signed by the Commander of the MTF. Fill in the form with a Yes or No answer, count or percentage, date or other entry as indicated. The completed list provides information for the completion of the monthly Data Quality Statement. <u>Bolded items contain data required to complete the Data Quality Statement. Explain negative responses with proposed corrective actions in the comment sections.</u> The Review list is an internal tool to assist in identifying and correcting financial and clinical workload data problems. All items on this checklist will be completed on a monthly basis (data month – 2 months prior) unless otherwise specified or the question does not apply to the MTF in which case the answer is Not Applicable (na). For tracking purposes, the completed forms and accompanying working papers or audit support documents (summary level only and supports answers to the Review List) must be kept on file for <u>five years</u> or as otherwise noted in supporting guidance for the statements in Sections A-E below:</p>	
<p>A . Organizational Factors</p>	
<p><i>Leadership commitment and support are critical to assure the appropriate environment for data quality. Questions A.5 – A.7 are to be completed quarterly and all others in this section to be completed monthly.</i></p>	
<p>POC Name(s) and Phone Number(s)</p>	
A.1. The MTF Commander signed last month's Data Quality Statement acknowledging responsibility for the quality of data reported from the MTF.	Date signed:
A.2. The MTF DQ Manager submitted last month's Data Quality Statement to the Service's respective DQ Manager(s).	Date submitted:
A.3. The Data Quality Assurance Team or other designated structure met during the month to complete the DQMC Review List. (Recommend attaching meeting minutes.) Data Month: _____	Date completed:
A.4. The DQ Manager briefed last month's DQMC Review List, and Financial and Workload Data Reconciliation and Validation results to the MTF Executive Committee.	Date briefed:
A.5. Does your MTF have a Coding Compliance Plan. a) Reviewed and approved annually for update? Date: _____ b) Reviewed and approved quarterly for compliance? Date: _____	Yes or No
A.6. Does your MTF have a Uniform Business Office (UBO) Compliance Plan. a) Reviewed and approved annually for update? Date: _____ b) Reviewed and approved quarterly for compliance? Date: _____	Yes or No
A.7. Has your Data Quality Manager or Data Quality Assurance Team members attended: a) TMA Data Quality Course (DQ Manager in the last three years)? Date attended: _____ b) TMA Data Quality Course (DQ Assurance Team)? Latest date attended: _____ c) Have the members of the DQ Assurance Team been trained in their area of responsibility? Note: A.7.c is to be used locally to ensure that team members have training in their functions and responsibilities. (E.g., Analysis: WISDOM; Medical Expense and Performance Reporting System (MEPRS): MADI, QUEST; Uniformed Business Office (UBO): webinars; Patient Administration (PAD): Service PAD Course.)	Yes or No a) b) c)
A.8. Was there evidence in meeting minutes or other sources of corrective plans, of appropriate resourcing and actions to follow-up on the previous month's negative findings?	Yes or No
A. Comments: (Include comments for any items reflected above as non-compliant, to include corrective actions being taken, incident tickets initiated (if applicable), and estimated corrective date.)	

B . Data Input		
<i>Controls in this category are designed to ensure data are entered into the application in an accurate, complete, and timely manner. Question B.1 should be answered quarterly.</i>		
POC Name(s) and Phone Number(s)		
B.1. Are there written procedures readily available and used by staff for entering, identifying, correcting and reprocessing data into the systems applicable to your MTF? a) AHLTA b) CCE c) CHCS d) DMHRSi e) Essentris f) MEPRS (EAS) g) TPOCS	Yes or No	
B.2. List the current version of software being used? a) AHLTA b) CCE c) CHCS d) DMHRSi (centrally managed) e) Essentris f) MEPRS (EAS) (centrally managed) g) TPOCS	Most recent version released	MTF software version used
B.3. Are data month central system upgrades (and associated loading activities) being received and loaded. <u>Annual ICD Codes should be available by Oct 1:</u> a) AHLTA <u>Date received</u> _____ b) CCE _____ c) CHCS _____ <u>Annual CPT and HCPCS Codes should be available by Jan 1:</u> d) AHLTA <u>Date received</u> _____ e) CCE _____ f) CHCS _____	a) b) c) d) na e) f) na g)	a) b) c) d) na e) f) na g)
B.4. Were all rejected data corrected and retransmitted? (As applicable.) a) AHLTA (E.g., write-back to ADM) b) CCE c) CHCS d) DMHRSi e) Essentris f) MEPRS (EAS) g) TPOCS (ADM to TPOCS) h) If the system is rejecting records, has an incident ticket with the MHS Service Desk and/or Service help desk been filed (if required)?	Yes or No	
	a) b) c) d) e) na f) g) h)	

B . Data Input (Concluded)

B.7. From the monthly CCE Encounter Status Summary report:	Count	Percentage
a) From the monthly CCE Billable Status Summary report (complete list) for outpatient and APV, what percentage of encounters has an encounter coding status of "Completed"? Formula: Number of billable encounters with coding status of "Completed" / number of total billable encounters.	a) ___/___	a)_____%
b) What percentage of Billable encounters has an encounter coding status of "Auto Released in CCE"? Formula: Number of billable encounters with coding status of "Auto Released in CCE" / number of total billable encounters.	b) ___/___	b)_____%
c) What percentage of Billable encounters has an encounter coding status of "On Review Hold"? Formula: Number of billable encounters with coding status of "On Review Hold" / number of total billable encounters.	c) ___/___	c)_____%
d) What percentage of Billable encounters does not have an encounter coding status? Formula: Number of billable encounters with coding status of "?" / number of total billable encounters.	d) ___/___	d)_____%
Note: This question is to determine CCE Utility.		
B. Comments: (Include comments for any items reflected above as non-compliant, to include corrective actions being taken, incident tickets initiated (if applicable), and estimated correction date.)		

C. Data Output

Data Output controls are used to ensure the accurate and timely distribution of outputs, Questions C.5 – 7 are answered quarterly for the last data month of the quarter.

POC Name(s) and Phone Number(s)

	Yes or No	
<p>C.1. Medical Expense and Performance Reporting System for Fixed Military Medical and Dental Treatment Facilities Manual (MEPRS Manual), DoD 6010.13-M, dated April 7, 2008, paragraph C3.3.4, requires report reconciliation. (Question 3 (a, b, c, d) of DQ Statement.)</p>		
<p>Data Month: _____</p>		
<p>a) Was the monthly MEPRS (EAS) financial reconciliation completed, validated, and approved by the MTF Resource Manager (i.e., Navy or Army Comptroller or Air Force Budget Officer or Analyst) prior to MEPRS monthly transmission?</p>	a)	
<p>b) Were monthly Inpatient and Outpatient MEPRS (EAS) reconciliation processes completed (excluding coding audits performed in C.5, C.6 and C.7)?</p>	b)	
<p>c) Were the data load status, outlier and allocation tabs in the MEWACS document reviewed and explanations provided in the comments section for flagged data anomalies?</p>	c)	
<p>1. EAS IV Repository MEPRS data load status and compliance with the 45-day reporting suspense or Service Guidance whichever is earlier. If the facility has a pattern (2 or more) of flagged cells on this tab, has it corrected it or developed a plan to correct it. Provide an explanation in the Comments Section.</p>		
<p>2. MTF-specific summary data outliers. If the facility has any Prior Fiscal Year or Current Fiscal Year flagged cells on this tab, provide an explanation in the Comments Section.</p>		
<p>3. Ancillary and Support expense allocation tests. If the facility is flagged in the Prior Fiscal Year or Current Fiscal Year due to incomplete allocation of ancillary or support expenses, provide an explanation in the Comments Section, including projected date for submitting corrected data.</p>		
<p>Note: For MEPRS related guidance consult the following Web site: http://www.meprs.info</p>		
<p>d) For DMHRSi, have the “DoD Batch and Timecard Status Report” and “HR Data Issues affecting EAS” or similar reports been run and the results presented to the Commanding Officer for review?</p>	Count	Percentage
<p>e) For DMHRSi, what is the percentage of submitted timecards by the suspense date? (Timecards submitted by Service determined date.)</p>	e) ___/___	e) ___%
<p>Formula: Number of timecards submitted on-time / total number of timecards for an MTF.</p>		
<p>f) For DMHRSi, what is the percentage of timecards approved by the suspense date? (Timecards submitted by Service determined date.)</p>	f) ___/___	f) ___%
<p>Formula: Number of timecards approved on-time / total number of timecards for an MTF.</p>		

C. Data Output (Continued)			
<p>C.2. Use CHCS during the data month to identify potential duplicate patient registration and duplicate National Provider Identifier (NPIs).</p> <p>Duplicate Patient Registration</p> <p>a) For CHCS or AHLTA hosts only, what was the number of potential duplicate patient registration in the data month for all MTFs under the host? (Question 10a of DQ Statement.)</p> <p>Run the CHCS standard report – “Potential Duplicate Patient Search”. List the DMIS IDs of the MTFs included in the comments section.</p> <p>Note: For current advice about how to identify duplicate records, see TRICARE Data Quality Web page: http://www.tricare.osd.mil/ocfo/mcfs/dqmcp/refs_regs.cfm. Potential duplicate patient registration can be minimized by performing DEERS validation checks.</p> <p>b) Do you have a process to reduce the number of duplicate patient registration? Recommend running the CHCS standard report – “User Registration”.</p> <p>c) Has your MTF determined how to correct the duplicate appointments or encounters and avoid the errors in the future?</p> <p>d) Have incident tickets been filed with MHS Service Desk for duplicate records in CHCS or AHLTA that cannot be resolved at the MTF level?</p> <p>Note: All AHLTA issues must be fixed with an MHS Service Desk incident ticket.</p> <p>e) Number of AHLTA patient merge incident tickets submitted to the MHS Service desk.</p> <p>Duplicate NPIs</p> <p>f) How many providers were found with duplicate NPIs?</p> <p>g) How many were resolved?</p>	Number	a)	
	Yes or No	b)	c)
	Number	d)	e) _____
		f) _____	g) _____
<p>C.3. Were system outputs transmitted to central repositories by date specified in TMA and Service-Level guidelines? (Question 4 (a, b, c, d) of DQ Statement.)</p> <p>a) MEPRS (EAS) (45 calendar days or Service guidance whichever is earlier)</p> <p>b) SIDR (CHCS) (5th working day of the following month)</p> <p>c) CAPER (ADM): Number of days with successful transmissions / number of days in the month.</p> <p>d) Daily Outpatient Workload Detailed Report (DOWDR), also known as the Daily Patient Appointments File: Number of successful daily transmissions / number of days in the month.</p>	Date or Initials	Yes or No	
	a)	a)	
	b)	b)	
	Count	Percentage	
c) ___/___	c) _____%		
d) ___/___	d) _____%		
<p>C.4. Were the following activities performed:</p> <p>a) Check the “ADM SADR/CAPER Error Report”?</p> <p>b) Correct the errors listed on the report?</p>	Yes or No	a)	
		b)	

C. Data Output (Continued)

<p>C.5. In a random review of CHCS Inpatient dispositions from the data month (the data month being reviewed on the last month of the quarter), the Service Headquarters will determine the specific random sample to be audited. The minimum of 30 records or encounters should be pulled randomly from the entire population of MTF inpatient medical records for the audit data month (e.g., in Dec the audit data month is Oct 1 - 31). (Question 5 (a, b, c, d) of the DQ Statement).</p>		<p>Date completed: _____</p>
<p>(See applicable DoDD or DoDI on Medical Records Retention and Coding and Service specific guidance. Note: A random sample of 30 records per MTF will provide a statistical confidence level of 90%, with a confidence interval or sampling error range of plus or minus 15%.</p>		
<p>The Services may request that each MTF conduct additional focused internal audits, in addition to the quarterly random audits being conducted for the DQMC Program (i.e., pull an additional number of records to be used in a focused audit, on specific clinics or departments). The focused audits may assist each MTF in targeting its coding improvement efforts, while the random-sample audit results can be extrapolated to assess the overall coding accuracy for that MTF.</p>	<p>Count</p>	<p>Percentage</p>
<p>a) Percentage of inpatient medical records located? Formula: Number of records available or documented as checked out / number of records requested for audit.</p>	<p>a) ___/___</p>	<p>a) _____%</p>
<p>b) Percentage of documentation that was complete. Formula: Number of medical records with complete documentation / total number of medical records.</p>	<p>b) ___/___</p>	<p>b) _____%</p>
<p>c) Percentage of inpatient medical records whose assigned DRG Codes were correct? Note: This is a comparison of the paper record to computerized coded information. Formula: Number of correct MS-DRGs / total number of MS-DRGs.</p>	<p>c) ___/___</p>	<p>c) _____%</p>
<p>d) Percentage of SIDRs completed (in a "D" status). Formula: Number of coded and approved SIDRs in a D status / total number of D and E SIDRs. Note: Auditing Sampling Methodology (for questions C.5.f,g,h) – One calendar day of the attending professional services during each audited hospitalization will be audited from the randomly selected sample. For hospitalizations which begin and terminate the same calendar day, that calendar day will be audited. For all other hospitalizations, the registration number will determine if services for the first or second calendar day will be audited. Odd registration numbers will be audited for the first day and even registration numbers will be audited for the second day.</p>	<p>d) ___/___</p>	<p>d) _____%</p>
<p>e) Percentage of Inpatient Professional Services Rounds encounters E & M Codes audited and deemed correct? Formula: Number of correct E&M Codes / total number of E&M Codes.*</p>	<p>e) ___/___</p>	<p>e) _____%</p>
<p>f) Percentage of Inpatient Professional Services Rounds encounters ICD-9 Codes audited and deemed correct? Formula: Number of correct ICD-9 Codes / total number of ICD-9 Codes.*</p>	<p>f) ___/___</p>	<p>f) _____%</p>
<p>g) Percentage of Inpatient Professional Services Rounds encounters CPT Codes audited and deemed correct? Formula: Number of correct CPT Codes / total number of CPT Codes.*</p>	<p>g) ___/___</p>	<p>g) _____%</p>
<p>*Note: The denominator for all categories should include codes identified by the auditor. See specific Service guidance for calculation details. (See applicable MHS Professional Services and Specialty Coding Guidelines for "Coding Audits" at: http://tricare.osd.mil/ocfo/bea/ubu/coding_guidelines.cfm).</p>		

C. Data Output (Continued)

C.6. In a random review of CHCS outpatient encounters from the data month (the data month being reviewed on the last month of the quarter), the Service Headquarters will determine the specific random sample to be audited. The minimum of 30 records or encounters should be pulled randomly from the entire population of MTF outpatient encounters for the audit data month (e.g., in Dec the audit data month is Oct 1 - 31) (Question 6 (a,b,c,d) of DQ Statement.) (See applicable DoDD or DoDI on Medical Records Retention and Coding and Service specific guidance).	Date completed: _____	
	Count	Percentage
<p>Note: A random sample of 30 records per MTF will provide a statistical confidence level of 90%, with a confidence interval or sampling error range of plus or minus 15%.</p>		
<p>The Services may request that each MTF conduct additional focused internal audits, in addition to the quarterly random audits being conducted for the DQMC Program (i.e., pull an additional number of records to be used in a focused audit, on specific clinics or departments). The focused audits may assist each MTF in targeting its coding improvement efforts, while the random-sample audit results can be extrapolated to assess the overall coding accuracy for that MTF.</p>		
<p>a) Is adequate documentation of the encounter selected to be audited available? Documentation includes documentation in the medical record, loose (hard copy) documentation or an electronic record of the encounter in AHLTA. (Denominator equals sample size.) Formula: Number of adequately documented encounters available / number of requested encounters.</p> <p>Note: This question is asking "Is adequate documentation of the encounter available to be audited?" If the documentation is available however the patient's outpatient health record is not available, the "record of the encounter" is available for audit.</p>	a) ___/___	a) _____%
<p>b) What is the percentage of E & M Codes deemed correct? (E & M Code must comply with current DoD guidance.) Note: If the paper record does not indicate an E&M Code was required and the computerized record does not have an E&M, the record is deemed correct. Formula: Number of correct E&M Codes / total number of E&M Codes.*</p>	b) ___/___	b) _____%
<p>c) What is the percentage of ICD-9 Codes deemed correct? Formula: Number of correct ICD-9 Codes / total number of ICD-9 Codes.*</p>	c) ___/___	c) _____%
<p>d) What is the percentage of CPT Codes deemed correct? (CPT Code must comply with current DoD guidance.) Note: If the paper record does not indicate a CPT was required and the computerized record does not have a CPT, the record is deemed correct. Formula: Number of correct CPT Codes / total number of CPT Codes.*</p> <p>Note: The denominator for all categories should include codes identified by the auditor. See specific Service Guidance for calculation details. (See applicable MHS Professional Services and Specialty Coding Guidelines for "Coding Audits" at: http://tricare.osd.mil/ocfo/bea/ubu/coding_guidelines.cfm)</p>	d) ___/___	d) _____%

C. Data Output (Continued)

<p>C.7. In a random review of CHCS Ambulatory Procedure Visits (APV) appointments from the data month (the data month being reviewed on the last month of the quarter), the Service Headquarters will determine the specific random sample to be audited. The minimum of 30 records or encounters should be pulled randomly from the entire population of MTF APV encounters for the audit data month (e.g., in Dec the audit data month is Oct 1 - 31) (Question 7 (a, b, c) of DQ Statement.) (See applicable DoDD or DoDI on Medical Records Retention and Coding and Service specific guidance).</p>		Date completed:
	Count	Percentage
<p>Note: A random sample of 30 records per MTF will provide a statistical confidence level of 90%, with a confidence interval or sampling error range of plus or minus 15%.</p>		
<p>The Services may request that each MTF conduct additional focused internal audits, in addition to the quarterly random audits being conducted for the DQMC Program (i.e., pull an additional number of records to be used in a focused audit, on specific clinics or departments). The focused audits may assist each MTF in targeting its coding improvement efforts, while the random-sample audit results can be extrapolated to assess the overall coding accuracy for that MTF.</p>		
<p>a) Is adequate documentation of the encounter selected to be audited available? Documentation includes documentation in the medical record, loose (hard copy) documentation or an electronic record of the encounter in AHLTA. (Denominator equals sample size.) Formula: Number of adequately documented encounters available / number of requested encounters.</p>	a) ___/___	a) _____%
<p>b) What is the percentage of ICD-9 Codes deemed correct? Formula: Number of correct ICD-9 Codes / total number of ICD-9 Codes.*</p>	b) ___/___	b) _____%
<p>c) What is the percentage of CPT Codes deemed correct? (CPT Code must comply with current DoD guidance.) Note: If the paper record does not indicate a CPT was required and the computerized record does not have a CPT, the record is deemed correct. Formula: Number of correct CPT Codes / total number of CPT Codes.*</p>	c) ___/___	c) _____%
<p>Note: The denominator for all categories should include codes identified by the auditor. See specific Service guidance for calculation details. (See applicable MHS Professional Services and Specialty Coding Guidelines for "Coding Audits" at: http://tricare.osd.mil/ocfo/bea/ubu/coding_guidelines.cfm)</p>		

C. Data Output (Continued)

C.8. In a random review of Non-Active Duty medical records or encounters from the data month, looking for the DD Form 2569s (electronic or hardcopy), the Uniformed Business Office staff in coordination with the Service Headquarters will determine the specific random sample to be audited for each type of record Inpatient, Outpatient, and Ambulatory Procedure Visits (APVs): The minimum of 30 records or encounters should be pulled randomly from the entire population of MTF for the audit data month (e.g., 1 - 31 July). (Question 8 (a, b, c, d, e, f) of DQ Statement.)		Date completed: _____
	Count	Percentage
<p>Note: A random sample of 30 records per MTF will provide a statistical confidence level of 90%, with a confidence interval or sampling error range of plus or minus 15%.</p> <p>From the 30 randomly pulled Inpatient dispositions: a) What percentage of completed and current (signed within the past 12 months) DD Form 2569s (Third Party Collection Insurance Info) is available for audit (non-active duty encounters only)? (See DoD 6010.15-M, MTF UBO Manual) Formula: Number of complete and current DD 2569s / number of Non-Active Duty records audited. Availability may be electronic, loose, or signed form maintained in other locations.</p>	a) ___/___	a) _____%
<p>b) What percentage of available, current and complete DD Form 2569s is verified to be correct in the Patient Insurance Information (PII) module in CHCS? Formula: Number of correct entries in the PII module / number of available, current and complete DD Form 2569s.</p>	b) ___/___	b) _____%
<p>From the 30 randomly pulled Outpatient encounters: c) What percentage of completed and current (signed within the past 12 months) DD Form 2569s (TPC Insurance Info) is available for audit (non-active duty encounters only)? (See DoD 6010.15-M, MTF UBO Manual) Formula: Number of complete and current DD2569s / number of Non-Active Duty records audited. Availability may be electronic, loose, or signed form maintained in other locations.</p>	c) ___/___	c) _____%
<p>d) What percentage of available, current and complete DD Form 2569s is verified to be correct in the Patient Insurance Information (PII) module in CHCS? Formula: Number of correct entries in the PII module / number of available, current and complete DD Form 2569s.</p>	d) ___/___	d) _____%
<p>From the 30 randomly pulled APVs: e) What percentage of completed and current (signed within the past 12 months) DD Form 2569s (TPC Insurance Info) is available for audit (non-active duty encounters only)? (See DoD 6010.15-M, MTF UBO Manual) Formula: Number of complete and current DD2569s / number of Non-Active Duty records audited. Availability may be electronic, loose, or signed form maintained in other locations.</p>	e) ___/___	e) _____%
<p>f) What percentage of available, current and complete DD Form 2569s is verified to be correct in the Patient Insurance Information (PII) module in CHCS? Formula: Number of correct entries in the PII module / number of available, current and complete DD Form 2569s.</p>	f) ___/___	f) _____%

C. Data Output (Continued)		
<p>C.9. Comparison of reported workload data. (Question 9 (a, b, c, d) of DQ Statement)</p> <p>a) Number of CAPER encounters * / number of Kept-Appointments.</p> <p>b) Number of MEPRS dispositions from EAS (or WAM, if EAS is unavailable) / number of SIDR D and E status dispositions.</p> <p>c) Number of MEPRS visits / number of Kept-Appointments (count only).</p> <p>Note: Questions a - c above, are allowed to be greater than 100%, with comment over 105%.</p> <p>d) Number of Inpatient Professional Service Rounds CAPER encounters (A*** CAPERs) that were completed by the attending provider or service / number of Total Bed days + Dispositions from EAS (or WAM, if EAS is unavailable).</p> <p>Note: Question d) answers that are above 110% need an explanation.</p> <p>Validate Service report to the criteria below: * For ADM Encounters, omit Appointment Status of "No-Show," "Canceled," and Disposition Code "Left without being seen," but include Appointment Status "TelCon," and "Occ-Svc." * Exclude RAD* appointment types from denominator. * Only CAPER records in B**** and FBN* clinics that are marked complete "C" will be included. * SIDRs with a Disposition Status of "D" will be included. * SIDRS to exclude Carded for Record Only (CRO) and absent sick records (primarily Army issue).</p>	<p>Count</p> <p>a) ___/___</p> <p>b) ___/___</p> <p>c) ___/___</p> <p>d) ___/___</p>	<p>Percentage</p> <p>a) _____%</p> <p>b) _____%</p> <p>c) _____%</p> <p>d) _____%</p>
<p>C.10. Data Quality Coding Error Reports</p> <p>A series of Data Quality reports were developed to detect and report errors in coding that require correction. These reports must be run each data month for each parent DMIS ID to respond to the following questions:</p> <p>a) Total Encounters Corrected / Total Outpatient Encounters Detected in the DQ Coding Error Reports (<i>total results of a)(1), a)(2), and a) (3) below</i>)</p> <p>(1) Total Invalid Outpatient Encounters Detected (<i>total a-d below</i>)</p> <p>(a) Total from Encounters with Invalid E&M Codes Report</p> <p>(b) Total from Encounters with Incorrectly coded Immunizations Report</p> <p>(c) Total from Encounters with Injury Related Codes with No Injury Related Flag Report</p> <p>(d) Total from Encounters with Incorrectly Coded TCONs by RNs/HNs</p> <p>(2) Total Outpatient Encounters Detected with Gender Conflicts</p> <p>(a) Total from Encounters with Female Only Diagnosis in Male Patients Report</p> <p>(b) Total from Encounters with Male Only Diagnosis in Female Patients Report</p> <p>(c) Total from Encounters with Maternity Diagnosis in Non-Maternity Patients Report</p> <p>(3) Total Encounters Detected with Age Conflicts</p> <p>(a) Total from Encounters with Adult Diagnosis in Pediatric Patients Report</p> <p>(b) Total from Newborn Diagnosis in Older Patients Report</p> <p>(c) Total from Pediatric Diagnosis in Adult Patients Report</p>	<p>Count</p> <p>a) ___/___</p> <p>(1) _____</p> <p>(a) _____</p> <p>(b) _____</p> <p>(c) _____</p> <p>(d) _____</p> <p>(2) _____</p> <p>(a) _____</p> <p>(b) _____</p> <p>(c) _____</p> <p>(3) _____</p> <p>(a) _____</p> <p>(b) _____</p> <p>(c) _____</p>	<p>Percentage</p> <p>a) _____%</p>

C. Data Output (Concluded)		
C.10. Data Quality Coding Error Reports	Count	Percentage
b) Total Detected Inpatient Records Corrected / Total Invalid Inpatient Records Detected (<i>total (1) and (2)</i>) (1) Total Inpatient Records from Questionable Admissions Based on Diagnosis Report (2) Total Inpatient Records from the Un-groupable MS-DRG Report Note: This question is under construction at this time as the reports become DQ Standard Reports. Response is optional. For current advice about how to run the Data Quality Coding Error Reports, see TRICARE Data Quality Web page: http://www.tricare.osd.mil/ocfo/mcfs/dqmcp/refs_regs.cfm .	b) ___/___ (1) _____ (2) _____	b) _____%
C. Comments: (Include comments for any items reflected above as non-compliant, to include corrective actions being taken, incident tickets initiated (if applicable), and estimated corrective date.)		

D . Security

These controls should provide assurances that computers and the data they contain are properly protected against theft, loss, unauthorized access, and natural disaster.

POC Name(s) and Phone Number(s)

D.1. Security keys: a) Are there internal controls and procedures in place to approve and manage assignment of security key privileges?	Yes or No a)
b) Have all Security key holders been identified and their need for security key privileges validated by the Chief Information Officer or designee?	b)

D. Comments: (Include comments for any items reflected above as non-compliant, to include corrective actions being taken, incident tickets initiated (if applicable), and estimated corrective date.)

E . System Design, Development, Operations, and Education and Training		
<i>Controls in this category are intended to ensure that systems meet user needs, are developed economically, are thoroughly documented and tested, and contain appropriate internal controls. Questions E.1 – E.3 are answered quarterly for the last month of the fiscal quarter. Questions E.4 – E.6 are answered monthly.</i>		
POC Name(s) and Phone Number(s)		
E.1. Was a point of contact (POC) appointed in writing for each of the following?	Yes or No	Name
a) AHLTA b) CCE c) CHCS d) DMHRSi e) Essentris f) MEPRS (EAS) g) TPOCS	a) b) c) d) e) f) g)	a) b) c) d) e) f) g)
E.2. Are procedures and documentation in place to ensure that all assigned personnel responsible for data entry received training and education on CHCS, MEPRS (EAS), TPOCS, and ADM etc.? How many users were trained this data month?	Yes or No	Number
a) AHLTA b) CCE c) CHCS d) DMHRSi e) Essentris f) MEPRS (EAS) g) TPOCS		a) b) c) d) e) f) g)
E.3. Were written Standard Operating Procedures (SOPs) in place to assure routine system software and hardware maintenance?	Yes or No	
a) AHLTA (host site only) b) CCE (all) c) CHCS (host site only) d) DMHRSi (centrally managed) e) Essentris (all) f) MEPRS (EAS) (centrally managed) g) TPOCS (all)		a) b) c) d) na e) f) na g)

E. System Design, Development, Operations, and Education and Training (Concluded)		
E.4. Are there contingency plans in place to restore operations? a) AHLTA b) CCE c) CHCS d) DMHRSi e) Essentris f) MEPRS (EAS) g) TPOCS	Business Operations Yes or No	System Operations Yes or No
	a) b) na c) d) e) f) g)	a) b) c) d) na e) f) na g)
E.5. What is the number of unresolved incident tickets (to include incident tickets with no action taken)?		
	# of Tickets Data Month	Previous Data Months
a) AHLTA	_____	_____
b) CCE	_____	_____
c) CHCS	_____	_____
d) DMHRSi	_____	_____
e) Essentris	_____	_____
f) MEPRS (EAS)	_____	_____
g) TPOCS	_____	_____
E.6. TRICARE Managed Care use.		Yes or No
a) Did the Managed Care Support Contractor (MCSC) provide MTF with MTF Profile report?		a)
b) Was the report briefed to the MTF leadership?		b)
E. Comments: (Include comments for any items reflected above as non-compliant, to include corrective actions being taken, incident tickets initiated (if applicable), and estimated corrective date.)		

E2. ENCLOSURE 2

FY 2013 DATA QUALITY STATEMENT

DATE: _____
MTF: _____
DMIS ID: _____

MEMORANDUM FOR DEFENSE HEALTH PROGRAM RESOURCE MANAGEMENT
STEERING COMMITTEE

THROUGH: (1) SERVICE DATA QUALITY MANAGER
(2) TMA DATA QUALITY MANAGEMENT CONTROL PROGRAM
MANAGER

SUBJECT: Data Quality Statement

I acknowledge responsibility for the financial and clinical workload data reported from my Military Treatment Facility (MTF). I am working with the MTF's Data Quality (DQ) Manager and have reviewed this month's DQ Management Control (DQMC) Review List to ensure complete, accurate, and timely data from my facility. I am aware the DQ Manager will forward the monthly Data Quality Statement to my Service's designated DQ Manager and that higher headquarters are also tracking metrics at the corporate level. The following is information from this month's DQMC Review List.

	Count	Percentage
1. In the data month (include only B*** and FBN* accounts):		
a) What percentage of appointments was closed in meeting your "End of Day" processing requirements, "Every appointment - Every day?" (B.5.a)	a) ___/___	a)____%
2. In accordance with legal and medical coding practices have all of the following occurred: (B.6,a, b, c)		
a) What percentage of Outpatient Encounters, other than APVs, has been coded within 3 business days of the encounter?	a) ___/___	a)____%
b) What percentage of APVs has been coded within 15 calendar days of the Encounter?	b) ___/___	b)____%
c) What percentage of Inpatient records has been coded within 30 calendar days after discharge?	c) ___/___	c)____%

	Count	Percentage
<p>3. <i>Medical Expense and Performance Reporting System for Fixed Military Medical and Dental Treatment Facilities Manual</i> (MEPRS Manual), DoD 6010.13-M, dated April 7, 2008, paragraph C3.3.4, requires report reconciliation. (C.1.a, c, e, f)</p> <p>a) Was the monthly MEPRS (EAS) financial reconciliation completed, validated, and approved by the MTF Resource Manager (i.e., Navy or Army Comptroller or Air Force Budget Officer or Analyst) prior to MEPRS monthly transmission?</p> <p>b) Were the data load status, outlier, and allocation tabs in the MEWACS document reviewed and explanations provided in the comments section for flagged data anomalies?</p> <p>c) For DMHRSi, what is the percentage of submitted timecards by the suspense date?</p> <p>d) For DMHRSi, what is the percentage of approved timecards by the suspense date?</p>	<p>c) ___/___</p> <p>d) ___/___</p>	<p>Yes or No</p> <p>a)</p> <p>b)</p> <p>c) _____%</p> <p>d) _____%</p>
<p>4. Compliance with TMA or Service-Level guidance for timely submission of data. Reported quarterly. (C.3.a, b, c, d)</p> <p>a) MEPRS (EAS) – 45 calendar days</p> <p>b) SIDR (CHCS) – 5th working day of the following month</p> <p>c) CAPER (ADM) – Daily</p> <p>d) DOWDR or Daily Patient Appointments File – daily transmissions.</p>	<p>c) ___/___</p> <p>d) ___/___</p>	<p>Yes or No</p> <p>a)</p> <p>b)</p> <p>c) _____%</p> <p>d) _____%</p>
<p>5. Outcome of quarterly Inpatient Coding audit: (C.5.c, f, g, h)</p> <p>a) Percentage of Inpatient medical records whose assigned DRG Codes were correct?</p> <p>b) Percentage of Inpatient Professional Services Rounds encounters E & M Codes audited and deemed correct?</p> <p>c) Percentage of Inpatient Professional Services Rounds encounters ICD-9 Codes audited and deemed correct?</p> <p>d) Percentage of Inpatient Professional Services Rounds encounters CPT Codes audited and deemed correct?</p>	<p>a) ___/___</p> <p>b) ___/___</p> <p>c) ___/___</p> <p>d) ___/___</p>	<p>a) _____%</p> <p>b) _____%</p> <p>c) _____%</p> <p>d) _____%</p>
<p>6. Outcome of quarterly Outpatient Record audit. (C.6.a, b, c, d)</p> <p>a) Is adequate documentation of the encounter selected to be audited available? Documentation includes documentation in the medical record, loose (hard copy) documentation or an electronic record of the encounter in AHLTA. (Denominator equals sample size.)</p> <p>b) What is the percentage of E & M Codes deemed correct? (E & M Code must comply with current DoD guidance.)</p> <p>c) What is the percentage of ICD-9 Codes deemed correct?</p> <p>d) What is the percentage of CPT Codes deemed correct? (CPT Code must comply with current DoD guidance.)</p>	<p>a) ___/___</p> <p>b) ___/___</p> <p>c) ___/___</p> <p>d) ___/___</p>	<p>a) _____%</p> <p>b) _____%</p> <p>c) _____%</p> <p>d) _____%</p>
<p>7. Outcome of quarterly Ambulatory Procedure Visits (APV) audit. (C.7.a, b, c)</p> <p>a) Is adequate documentation of the encounter selected to be audited available? Documentation includes documentation in the medical record, loose (hard copy) documentation or an electronic record of the encounter in AHLTA. (Denominator equals sample size.)</p> <p>b) What is the percentage of ICD-9 Codes deemed correct?</p> <p>c) What is the percentage of CPT Codes deemed correct? (CPT Code must comply with current DoD guidance.)</p>	<p>a) ___/___</p> <p>b) ___/___</p> <p>c) ___/___</p>	<p>a) _____%</p> <p>b) _____%</p> <p>c) _____%</p>

	Count	Percentage
<p>8. DD-2569 forms. (C.8.a, b, c, d, e, f)</p> <p>Inpatient dispositions:</p> <p>a) What percentage of completed and current (signed within the past 12 months) DD Form 2569s (TPC Insurance Info) is available for review?</p> <p>b) What percentage of available, current and complete DD Form 2569s is verified to be correct in the Patient Insurance Information (PII) module in CHCS?</p> <p>Outpatient encounters:</p> <p>c) What percentage of completed and current (signed within the past 12 months) DD Form 2569s (TPC Insurance Info) is available for review?</p> <p>d) What percentage of available, current and complete DD Form 2569s is verified to be correct in the Patient Insurance Information (PII) module in CHCS?</p> <p>APVs:</p> <p>e) What percentage of completed and current (signed within the past 12 months) DD Form 2569s (TPC Insurance Info) is available for review?</p> <p>f) What percentage of available, current and complete DD Form 2569s is verified to be correct in the Patient Insurance Information (PII) module in CHCS?</p>	<p>a) ___/___</p> <p>b) ___/___</p> <p>c) ___/___</p> <p>d) ___/___</p> <p>e) ___/___</p> <p>f) ___/___</p>	<p>a) _____%</p> <p>b) _____%</p> <p>c) _____%</p> <p>d) _____%</p> <p>e) _____%</p> <p>f) _____%</p>
<p>9. Comparison of reported workload data. (C.9.a, b, c, d)</p> <p>a) Number of CAPER encounters / number of Kept-Appointments.</p> <p>b) Number of MEPRS dispositions from EAS (or WAM, if EAS is unavailable) / number of SIDR D and E status dispositions.</p> <p>c) Number of MEPRS visits / number of Kept-Appointments (count only).</p> <p>d) Number of Inpatient Professional Services Rounds CAPER encounters (A*** CAPERs) / number of Total Bed days + Dispositions from EAS (or WAM, if EAS is unavailable).</p>	<p>a) ___/___</p> <p>b) ___/___</p> <p>c) ___/___</p> <p>d) ___/___</p>	<p>a) _____%</p> <p>b) _____%</p> <p>c) _____%</p> <p>d) _____%</p>
<p>10. Use CHCS during the data month to identify potential duplicate patient registration. (C.2.a)</p> <p>a) For CHCS or AHLTA hosts only, what was the number of potential duplicate patient registration in the data month for all MTFs under the host? List the DMIS IDs of the MTFs included in the comments section.</p>		<p>Number</p> <p>a) _____</p>
<p>11. I am aware of the data quality issues identified by the completed Data Quality Statement and the Data Quality Management Control Review List and when needed, have incorporated monitoring mechanisms and have taken corrective actions to improve the data from my facility.</p>	Date	Yes or No

Comments: (Include comments for any items reflected above as non-compliant, to include corrective actions being taken, incident tickets initiated (if applicable), and estimated correction date.)

Signature (or record of approval if completed electronically)
Commanding Officer or Officer in Charge